## Audit Plan 2017/18

Fundamental Audits - S151 Assurance	Type of engagement	CIPFA classification	Original audit plan	Assignment	Days	Status as at 31.08.17	Revised Days
Creditor Payments & Processing (including procurement)	Assurance	Chargeable	75	CRSA 2017/18	10	Planned for Q4	10
	<del>                                     </del>	-		In-year testing 2016/17 and 2017/18	65	Testing on 2016/17 data ongoing. 2017/18 proposed to be deferred to Q1, 2018/19	30
Payroll	Assurance	Chargeable	70	CRSA 2017/18	10	Planned for Q4	10
,	<del> </del>	_		In-year testing 2016/17 and 2017/18	60	2016/17 ongoing. Data also being used in thematic reviews	60
Council Tax	Assurance	Chargeable	15	CRSA 2017/18	5	Planned for Q3	5
				In-year testing 2016/17	10	Final report issued	10
NNDR	Assurance	Chargeable	15	CRSA 2017/18	5	Planned for Q4	5
				In-year testing 2016/17	10	Final report issued	10
Local Housing Allowances	Assurance	Chargeable	15	Local Housing Allowances	15	Scope of audit being discussed with directorate	15
Treasury Management	Assurance	Chargeable	10	CRSA 2017/18	4	Planned for Q3	4
Treasury Management	7.554.4.100	onargeasie	10	In-year testing 2017/18	6	Planned for Q4	6
Main Accounting	Assurance	Chargeable	10	CRSA 2017/18	4	Planned for Q4	4
Iviain Accounting	Assurance	Chargeable	10	In-year testing 2016/17	6	Draft report issued	6
Income and Debtors	Assurance	Chargeable	30	Income and Debtors	30	Planned for Q4	30
Asset Management	Assurance	Chargeable	30	Asset Management	30	Planned for Q3 with reduced days	15
Housing Rents	Assurance	Chargeable	10	Housing Rents	10	Scope of audit being discussed with directorate (see also audit of leasehold properties)	10
Total		· ·	280		280		230
	Type of		Original audit				
Corporate Governance Assurance - Audit (Tier 1)	engagement	CIPFA classification	plan	Assignment	Days	Status as at 31.08.17	Days
Audit of risk management arrangements	Assurance	Chargeable	20	Audit of risk management arrangements	20	Fieldwork	20
	Assurance	Chargeable					
Audit of governance arrangements	+		30	Audit of governance arrangements	30	Proposed to be deferred	0
Audit of ethics and values	Assurance	Chargeable	15	Audit of ethics and values	15	Briefing paper being prepared	15
Audit of performance management	Assurance	Chargeable	15	Audit of performance management	15	Deferred until Q3 due to implementation of changes in processes	15
Audit of Information governance	Assurance	Chargeable	20	Audit of Information governance	20	Draft report issued	20
Total			100		100		70
Programme and projects (including ODP)	Assurance	Chargeable	20	Programme and projects (including ODP)	20	Deferred due to changes in the OD programme	0
Total			20		20		0
Other Assurance (Tier 2)	Type of engagement	CIPFA classification	Original audit plan	Assignment	Days	Status as at 31.08.17	Days
				Revision of CSO&PR and training	15	Revision of rules is on-going. First draft being considered	15
	İ			CRSA 2017/18	10	Planned for Q4	10
	Assurance			In-year testing 2017/18, including contract variations	35	Planned for Q4	35
				Effective Decision Making	10	Planned for Q4	10
Contract Audit		Chargeable	130	Cradle to Grave reviews (2)	10	r latilieu tot Q4	0
				Cradle to Grave reviews (2)  Cradle to Grave review - Days	0	Dueft assesst being assistanted	5
				· · · · · · · · · · · · · · · · · · ·		Draft report being reviewed	_
				Cradle to Grave review - Gullivers	0	Fieldwork	5
				GLL and other partnership working	50	Planned for Q3	50
Education - SOP	Assurance	Chargeable	30	Education - SOP	30	Fieldwork	30
Education - SOP	Assurance	Chargeable	30	Cybersecurity governance	30	Scope of audit being discussed (see below)	30
Education - SOP	Assurance	Chargeable	30	Cybersecurity governance Inventory of information assets	<del></del>		
Education - SOP	Assurance	Chargeable	30	Cybersecurity governance	30	Scope of audit being discussed (see below)	30
Education - SOP  Computer Audit	Assurance Assurance	Chargeable Chargeable	100	Cybersecurity governance Inventory of information assets	30 20	Scope of audit being discussed (see below) Scope of audit being discussed with Head of IT	30 20
				Cybersecurity governance Inventory of information assets Standard security configurations	30 20 20	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3	30 20 20
				Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management	30 20 20 20 10	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4	30 20 20 10
				Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation	30 20 20 20 10	Scope of audit being discussed (see below) Scope of audit being discussed with Head of IT Planned for Q3 Planned for Q4 To be included in scope of cyber security review	30 20 20 10 10
				Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS	30 20 20 10 10	Scope of audit being discussed (see below) Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed	30 20 20 10 10 10
				Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage	30 20 20 10 10 10 0 10	Scope of audit being discussed (see below) Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed	30 20 20 10 10 10 0
Computer Audit	Assurance	Chargeable	100	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process	30 20 20 10 10 10 0 10 5	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3	30 20 20 10 10 10 0 10 5
			100	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process	30 20 20 10 10 10 0 10 5	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3	30 20 20 10 10 10 0 10 5
Computer Audit	Assurance	Chargeable	100	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures	30 20 20 10 10 10 0 10 5 5	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued	30 20 20 10 10 10 0 10 5 5
Computer Audit  Driving Improvement - Value for Money studies	Assurance TBC	Chargeable Chargeable	100 30	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments	30 20 20 10 10 10 0 10 5 5 5	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed	30 20 20 10 10 10 0 10 5 5 5
Computer Audit	Assurance	Chargeable	100	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development	30 20 20 10 10 10 0 10 5 5 5 5	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15	30 20 20 10 10 10 0 10 5 5 5 5
Computer Audit  Driving Improvement - Value for Money studies	Assurance TBC	Chargeable Chargeable	100 30	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18	30 20 20 10 10 10 0 10 5 5 5 5 30 4	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4	30 20 20 10 10 10 0 10 5 5 5 5 4
Computer Audit  Driving Improvement - Value for Money studies  System Development	Assurance TBC TBC	Chargeable  Chargeable  Chargeable	30 30	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17	30 20 20 10 10 10 0 10 5 5 5 5 5 30 4 6	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3	30 20 20 10 10 10 0 10 5 5 5 5 15 4
Computer Audit  Driving Improvement - Value for Money studies  System Development	Assurance TBC TBC	Chargeable  Chargeable  Chargeable	30 30	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18	30 20 20 10 10 10 0 10 5 5 5 5 5 30 4 6	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4	30 20 20 10 10 10 0 10 5 5 5 5 15 4 6
Computer Audit  Driving Improvement - Value for Money studies  System Development  Pensions and Investments  Insurance	Assurance  TBC  Assurance	Chargeable  Chargeable  Chargeable  Chargeable  Chargeable	30 30 10	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18 In-year testing 2016/17	30 20 20 10 10 10 0 10 5 5 5 5 5 30 4 6 4 6	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4  Final report issued	30 20 20 10 10 10 5 5 5 5 15 4 6 4 6
Computer Audit  Driving Improvement - Value for Money studies  System Development  Pensions and Investments	Assurance  TBC  Assurance	Chargeable  Chargeable  Chargeable  Chargeable  Chargeable  Chargeable	30 30 10 10	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18	30 20 20 10 10 10 0 10 5 5 5 5 5 30 4 6	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4	30 20 20 10 10 10 0 10 5 5 5 5 4 6
Computer Audit  Driving Improvement - Value for Money studies  System Development  Pensions and Investments  Insurance  Mileage & subsistence  Stores	Assurance  TBC  TBC  Assurance  Assurance	Chargeable  Chargeable  Chargeable  Chargeable  Chargeable	30 30 10	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18 In-year testing 2016/17 Mileage & subsistence (including pool cars) Stores	30 20 20 10 10 10 0 10 5 5 5 5 5 30 4 6 4 6	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4  Final report issued	30 20 20 10 10 10 0 10 5 5 5 5 15 4 6 4 6
Computer Audit  Driving Improvement - Value for Money studies  System Development  Pensions and Investments  Insurance  Mileage & subsistence	Assurance  TBC  Assurance  Assurance  Assurance	Chargeable  Chargeable  Chargeable  Chargeable  Chargeable  Chargeable	30 30 10 10	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18 In-year testing 2016/17 Mileage & subsistence (including pool cars)	30 20 20 10 10 10 0 10 5 5 5 5 5 4 6 4 6	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4  Final report issued  Proposed to be deferred	30 20 20 10 10 10 0 10 5 5 5 5 4 6 4 6
Computer Audit  Driving Improvement - Value for Money studies  System Development  Pensions and Investments  Insurance  Mileage & subsistence  Stores	Assurance  TBC  Assurance  Assurance  Assurance  Assurance	Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable	30 30 10 10 10 10	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18 In-year testing 2016/17 Mileage & subsistence (including pool cars) Stores	30 20 20 10 10 10 0 10 5 5 5 5 5 5 4 6 4 6	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4  Final report issued  Proposed to be deferred  Planned for Q4	30 20 20 10 10 10 0 10 5 5 5 5 4 6 4 6
Computer Audit  Driving Improvement - Value for Money studies  System Development  Pensions and Investments  Insurance  Mileage & subsistence  Stores  Business Continuity	Assurance  TBC  Assurance  Assurance  Assurance  Assurance	Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable	30 30 10 10 10 15 15 380 Original audit	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18 In-year testing 2016/17 Mileage & subsistence (including pool cars) Stores	30 20 20 10 10 10 0 10 5 5 5 5 5 5 4 6 4 6 10 10	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4  Final report issued  Proposed to be deferred  Planned for Q4	30 20 20 10 10 10 0 10 5 5 5 5 5 15 4 6 4 6 0
Computer Audit  Driving Improvement - Value for Money studies  System Development  Pensions and Investments  Insurance  Mileage & subsistence  Stores  Business Continuity  Total	TBC TBC Assurance Assurance Assurance Assurance Assurance Type of	Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable	100 30 10 10 10 15 15 380	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18 In-year testing 2016/17 Mileage & subsistence (including pool cars) Stores Business Continuity  Assignment	30 20 20 10 10 10 0 10 5 5 5 5 5 5 30 4 6 4 6 10 15 15	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4  Final report issued  Proposed to be deferred  Planned for Q4  Planned for Q3  Status as at 31.08.17	30 20 20 10 10 10 0 10 5 5 5 5 15 4 6 4 6 0 15 15 15
Computer Audit  Driving Improvement - Value for Money studies  System Development  Pensions and Investments  Insurance  Mileage & subsistence Stores  Business Continuity  Total	TBC TBC Assurance Assurance Assurance Assurance Assurance Type of	Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable Chargeable	30 30 10 10 10 15 15 380 Original audit	Cybersecurity governance Inventory of information assets Standard security configurations Information Access Management Response and remediation On-going monitoring PCI DSS Vehicle usage Disciplinary process PP&DR process Sickness Absence procedures Discretionary grant payments System Development CRSA 2017/18 In-year testing 2016/17 CRSA 2017/18 In-year testing 2016/17 Mileage & subsistence (including pool cars) Stores Business Continuity	30 20 20 10 10 10 0 10 5 5 5 5 5 5 30 4 6 4 6 10 15 15	Scope of audit being discussed (see below)  Scope of audit being discussed with Head of IT  Planned for Q3  Planned for Q4  To be included in scope of cyber security review  To be included in scope of cyber security review  Carried over from 2016/17 - audit closed  Scope of audit being discussed  Planned for Q3  Planned for Q3  Draft report issued  Scope of audit being discussed  Proposed to reduce the number of days to 15  Planned for Q4  Deferred until Q3  Planned for Q4  Final report issued  Proposed to be deferred  Planned for Q4  Planned for Q4	30 20 20 10 10 10 0 10 5 5 5 5 15 4 6 4 6 0 15 15

Committee   Comm	1	1	I	I	Payroll	1 1	Fieldwork	20
Communication   Communicatio	City Operations	Assurance	Chargeable	120	·	120		
Community   Page   Pa						i i	<u>`</u>	10
					·	1 1		20
Communities   Porting and Continues   2 marked   1 ma					·	0		0
Page					Commissioning and Procurement	20	Fieldwork	20
Communicia sensity and Cuto set Senters   Programs					Governance	15	Planned for Q2	15
April   Communities   Asking and Contract Services   April   Programmer   April   Programme					Payroll	10	Fieldwork	10
Committee Annable or Customs Services   1					Effective Decision Making	20	Planned for Q4	20
Communication   Communicatio			Chargeable	120	Leasehold Properties	15	Scope of audit being discussed with directorate (in line with audit of housing rents)	15
Page	Communities Housing and Customer Services	Assurance			Supporting People contracts	10	Planned for Q4	10
Contraction	Communities, Housing and Customer Services	1			Mini-tender Process	15	Planned for Q4	15
Community   Part   Community   Part					Assessment of Return on Investment (new housing developments)	15	Proposed to be deferred	0
Controlled   Con					Community Hubs - follow up	0	Draft report being reviewed	0
Marie					Regional Partnership Board	0	Possible new audit (Scrutiny letters)	0
Commission   Com						0		0
Committed Development   Parameter   Para		Consultation			Building Services Maintenance Framework	0	Attendance at meetings	0
Page					Commissioning and Procurement	20		20
Company   Comp								_
Management   Management   Management   10   Ma								
Description of Description   10   10   10   10   10   10   10   1	Economic Development	Assurance	Chargeable	110			<u> </u>	_
Commercial Services   Sign   Support involves provided in Support involv						+	·	
Commissioning and Procurement							<u>`</u>	
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Main Committee								
Advance		Assurance	Chargeable					
Abustice						_		_
Assumption   Assumption   Assumption   Assumption   Chargesible   Chargesible   Assumption   Chargesible   Assumption   Chargesible   Chargesible   Assumption   Chargesible   Chargesible   Assumption   Chargesible   Chargesible   Assumption   Chargesible   Chargesible   Chargesible   Assumption   Chargesible				330				
Martine   Mart							<u> </u>	
Administration						_	Annual exercise - ongoing and on track for completion by end of Q4	
Al Saints   Park	Education and Lifelong Learning					_		
Birchgrowe   0   Carried Goward from 2016/17. Intal report issued   0						_		+
Easter High								_
Mount Start						_		+
Second Services								
St. David's   St. David's   O   Carried forward from 2016/17- final report issued   O								_
Mathematical   Math						_	· · · · · · · · · · · · · · · · · · ·	+
Figure   F								
School Admissions   O   Possible new audit Scrutiny etters   O						_		+
Assurance   Assurance   Assurance   Assurance   Assurance   Chargeable   Payroll   20   Planned for Q3   20   Planned for Q3   20   Planned for Q4   5   Planned for Q4   Planned for Q5   Planned f						_		
Assurance   Chargeable   Assurance   Chargeable   Assurance   Chargeable   Charge		+						
Assurance   Assu		Assurance	Chargeable	50				+
Effective Decision Making   5	Governance and Legal Services  Resources  Social Services					+		-
Second   S					·	_		
Land charges follow up						+		
Resources         Assurance         Chargeable         4 Body Flance of Payroll         10         Planned for Q2         10           Resources         15         Planned for Q3         15           Payroll         15         Planned for Q4         15           Payroll of Commissioning and Procurement         50         Planned for Q3         50           Payroll of Payroll         20         Planned for Q3         50           Payroll of Payroll         20         Planned for Q3         20           Payroll of Payroll         20         Planned for Q4         30           Payroll of Payroll         20         Planned for Q4         30           Payroll of Payroll         20         Planned for Q4         30           Payroll of Payroll					-		·	_
Resources         Assurance         Chargeable         90         Governance         15         Planned for Q3         15           Payroll         10         Fieldwork         10           Payroll Fetcive Decision Making         15         Planned for Q4         15           Planned for Q2         50         Planned for Q2         50           Ocommissioning and Procurement         50         Planned for Q3         50           Payroll         20         Planned for Q3         20           Payroll Planned for Q3         20         Planned for Q3         30           Payroll Planned for Q3         30         Planned for Q3         20           Effective Decision Making         40         Proposed to reduce the number of days to 30         30           ICT systems and associated procedures         40         Proposed to reduce scope due to changes in directorate         20           Domiciliary Care         30         Deferred at the request of the Director of Social Services         0           Domiciliary Care         30         Deferred at the request of the Director of Social Services         0           Payment processes         40         Research and scoping         40           Payment processes         40         Proposed or device scoped use to change					3 .			
Resurces         Assurance         Chargeable Effective Decision Making         10         Fieldwork         10           Feffective Decision Making         15         Planned for Q4         15           Commissioning and Procurement         50         Planned for Q2         50           Ocernance         50         Planned for Q3         50           Payroll         20         Planned for Q3         20           Effective Decision Making         40         Proposed to reduce the number of days to 30         30           In Systems and associated procedures         40         Proposed to reduce scope due to changes in for Q4         20           Admin processes         30         Planned for Q4         30           Admin processes         30         Planned for Q4         30           Domiciliary Care         30         Deferred at the request of the Director of Social Services         0           Payment processes         40         Research and scoping         40           Homecare (Mobile Scheduling)         0         Carried forward from 2016/17 - final report issued         0           Children with Disabilities         0         Carried forward from 2016/17 - final report issued         0           Payments to Care Leavers         0         Carried forward from 2016/17 -						_		_
Effective Decision Making 15 Planned for Q4 15  Commissioning and Procurement 50 Planned for Q2 50  Governance Payroll 20 Planned for Q3 50  Payroll 20 Planned for Q3 50  Effective Decision Making 40 Proposed to reduce the number of days to 30 20  Effective Decision Making 40 Proposed to reduce the number of days to 30 30  Effective Decision Making 40 Proposed to reduce the number of days to 30 30  Effective Decision Making 40 Proposed to reduce scope due to changes in directorate 20  Admin processes 30 Planned for Q4 30  Domiciliary Care 30 Deferred at the request of the Director of Social Services 0  Payment processes 40 Research and scoping 40  Research and scoping 40  The Momecare (Mobile Scheduling) 0 Carried forward from 2016/17 - final report issued 0  Payments to Care Leavers 0 Carried forward from 2016/17 - final report issued 0								
Social Services  Assurance  Assurance  Chargeable  Admin processes  Admin process					· · · · · · · · · · · · · · · · · · ·	+		
Social Services  Assurance  Assurance  Chargeable  Assurance  Assurance  Assurance  Assurance  Assurance  Assurance  Assurance  Chargeable  Assurance  Chargeable  Chargeable  Assurance  Chargeable  Assurance  Chargeable  Assurance  Chargeable  Assurance  Chargeable  Chargeable  Admin processes  Admin processes  Domicillary Care  Payment processes  Admin processes  Domicillary Care  Payment processes  Admin processes  Admin processes  Domicillary Care  Payment processes  Admin processes  Admin processes  Admin processes  Domicillary Care  Payment processes  Admin proce								
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External (Tier 2)	Type of engagement	CIPFA classification	Original audit plan	Assignment	Days	Status as at 31.08.17	
		Chargeable	10	Cardiff Further Education Trust Fund	1	Due in Q4	1
				Joint committees	3	Four audits undertaken. All closed	3
External clients	Assurance			Other external clients	14		14
				Norwegian Church Trust 2015/16	0	Due in Q3	0
				Norwegian Church Trust 2016/17	0	Due in Q4	0
		Chargeable	20	Tresilian House	2	Due in Q4	2
Grants	Assurance			IMLU	5	Final report issued	5
				Other grants	5		5
Total			30		30		30
Contingencies	Type of engagement	CIPFA classification	Original audit plan	Assignment	Days	Status as at 31.08.17	
General Audit		Chargeable	82	General Audit	82	Days used for audits that were carried over from 2016/17	82
Total			82		82		82
Management (Tier 3)	Type of engagement	CIPFA classification	Original audit plan	Assignment	Days	Status as at 31.08.17	
Support for Audit Committee / liaison with WAO	Consultation	Chargeable	40	Support for Audit Committee / liaison with WAO	40	On-going and continuous	40
Assurance mapping	Consultation	Chargeable	20	Assurance mapping	20	On-going On-going	20
CRSA development	Consultation	Chargeable	10	CRSA development	10	Proposed to be deferred	0
Work requested by Audit Manager	Consultation	Chargeable	20	Work requested by Audit Manager	20		20
Audit Planning and monitoring	Consultation	Chargeable	20	Audit Planning and monitoring	20	On-going and continuous	20
Process Development	Consultation	Chargeable	20	Process Development	20		20
Review of Financial Rules etc	Consultation	Chargeable	30	Review of Financial Rules etc	30	Revision of rules is on-going	30
Consultancy - advice and guidance	Consultation	Chargeable	30	Consultancy - advice and guidance	] 30	On-going and continuous	30
Total			160		160		150

2,102

Total chargeable days

2,102